

# REPORT DOCUMENTATION PAGE

Form Approved  
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

<b>1. AGENCY USE ONLY (Leave blank)</b>			<b>2. REPORT DATE</b> From 5/93 To 9/96		<b>3. REPORT TYPE AND DATES COVERED</b> Final Report	
<b>4. TITLE AND SUBTITLE</b>  Functional Design of Dolphin Blubber			<b>5. FUNDING NUMBERS</b>  NOOO14-93-1-0640 R&T 3412152---04			
<b>6. AUTHOR(S)</b>  D. Ann Pabst						
<b>7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)</b>  Biological Sciences University of North Carolina at Wilmington 601 S. College Rd. Wilmington, NC 28403			<b>8. PERFORMING ORGANIZATION REPORT NUMBER</b>			
<b>9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)</b>  Office of Naval Research 800 North Quincy Street Arlington, VA 22217-5660			<b>10. SPONSORING/MONITORING AGENCY REPORT NUMBER</b>			
<b>11. SUPPLEMENTARY NOTES</b>						
<b>12a. DISTRIBUTION/AVAILABILITY STATEMENT</b>  Distribution Unlimited			<div style="border: 1px solid black; padding: 5px; display: inline-block;"> <b>DISTRIBUTION STATEMENT A</b>            Approved for public release            Distribution Unlimited         </div>			
<b>13. ABSTRACT</b> (Maximum 200 words)  The boundary between the dolphin and its environment is sculpted by blubber - a complex, structural biomaterial. I have investigated the functional design of blubber by (1) measuring its 3-D architecture and its physical connections to other locomotor tissues, and (2) testing its dynamic biaxial stress/strain behavior. Blubber can be biomechanically modeled as an adipose hydrostat: thorax blubber is designed to maximize body volume and tailstock blubber is designed to resist torsion and to store strain energy during swimming. Blubber is directly connected to the axial skeleton in the caudal tailstock, permitting force transmission and limiting shear deformation. Dynamic and pseudostatic mechanical tests have demonstrated that blubber is both a resilient tensile and compressive spring with an elastic modulus similar to that of high-quality biological and synthetic rubbers. Preliminary data demonstrate that blubber resists stress-relaxation. Blubber is morphologically and mechanically well-suited to (1) limit large scale shear deformation that might occur across the skin's thickness and (2) function as a biological spring. This study is the first to present data that support the hypothesis that blubber may function as a spring to decrease the metabolic cost of swimming in dolphins.						
<b>14. SUBJECT TERMS</b>  blubber, swimming, biological spring, dolphin,			<b>15. NUMBER OF PAGES</b> 4			
<b>16. PRICE CODE</b>						
<b>17. SECURITY CLASSIFICATION OF REPORT</b>	<b>18. SECURITY CLASSIFICATION OF THIS PAGE</b>	<b>19. SECURITY CLASSIFICATION OF ABSTRACT</b>	<b>20. LIMITATION OF ABSTRACT</b>			

## GENERAL INSTRUCTIONS FOR COMPLETING SF 298

The Report Documentation Page (RDP) is used in announcing and cataloging reports. It is important that this information be consistent with the rest of the report, particularly the cover and title page. Instructions for filling in each block of the form follow. It is important to *stay within the lines* to meet *optical scanning requirements*.

**Block 1. Agency Use Only (Leave blank).**

**Block 2. Report Date.** Full publication date including day, month, and year, if available (e.g. 1 Jan 88). Must cite at least the year.

**Block 3. Type of Report and Dates Covered.**

State whether report is interim, final, etc. If applicable, enter inclusive report dates (e.g. 10 Jun 87 - 30 Jun 88).

**Block 4. Title and Subtitle.** A title is taken from the part of the report that provides the most meaningful and complete information. When a report is prepared in more than one volume, repeat the primary title, add volume number, and include subtitle for the specific volume. On classified documents enter the title classification in parentheses.

**Block 5. Funding Numbers.** To include contract and grant numbers; may include program element number(s), project number(s), task number(s), and work unit number(s). Use the following labels:

C - Contract	PR - Project
G - Grant	TA - Task
PE - Program Element	WU - Work Unit Accession No.

**Block 6. Author(s).** Name(s) of person(s) responsible for writing the report, performing the research, or credited with the content of the report. If editor or compiler, this should follow the name(s).

**Block 7. Performing Organization Name(s) and Address(es).** Self-explanatory.

**Block 8. Performing Organization Report Number.** Enter the unique alphanumeric report number(s) assigned by the organization performing the report.

**Block 9. Sponsoring/Monitoring Agency Name(s) and Address(es).** Self-explanatory.

**Block 10. Sponsoring/Monitoring Agency Report Number. (If known)**

**Block 11. Supplementary Notes.** Enter information not included elsewhere such as: Prepared in cooperation with...; Trans. of...; To be published in.... When a report is revised, include a statement whether the new report supersedes or supplements the older report.

**Block 12a. Distribution/Availability Statement.**

Denotes public availability or limitations. Cite any availability to the public. Enter additional limitations or special markings in all capitals (e.g. NOFORN, REL, ITAR).

**DOD** - See DoDD 5230.24, "Distribution Statements on Technical Documents."

**DOE** - See authorities.

**NASA** - See Handbook NHB 2200.2.

**NTIS** - Leave blank.

**Block 12b. Distribution Code.**

**DOD** - Leave blank.

**DOE** - Enter DOE distribution categories from the Standard Distribution for Unclassified Scientific and Technical Reports.

**NASA** - Leave blank.

**NTIS** - Leave blank.

**Block 13. Abstract.** Include a brief (*Maximum 200 words*) factual summary of the most significant information contained in the report.

**Block 14. Subject Terms.** Keywords or phrases identifying major subjects in the report.

**Block 15. Number of Pages.** Enter the total number of pages.

**Block 16. Price Code.** Enter appropriate price code (*NTIS only*).

**Blocks 17. - 19. Security Classifications.** Self-explanatory. Enter U.S. Security Classification in accordance with U.S. Security Regulations (i.e., UNCLASSIFIED). If form contains classified information, stamp classification on the top and bottom of the page.

**Block 20. Limitation of Abstract.** This block must be completed to assign a limitation to the abstract. Enter either UL (unlimited) or SAR (same as report). An entry in this block is necessary if the abstract is to be limited. If blank, the abstract is assumed to be unlimited.

19961127 073

FINAL REPORT

Grant #: N00014-93-1-0640

PRINCIPLE INVESTIGATOR: D. A. Pabst

INSTITUTION: University Of North Carolina at Wilmington

GRANT TITLE: Functional Design of Dolphin Blubber

AWARD PERIOD: 15 May 1993 - 1 September 1996

OBJECTIVE: The boundary between the dolphin and its aquatic environment is sculpted by blubber - a complex, structural biomaterial. I have investigated the functional design of blubber by (1) measuring its regionally specific 3-D architecture and its physical connections to other locomotor tissues, and (2) testing its dynamic biaxial and uniaxial stress/strain behavior. I have used these data to create morphologically accurate computer images of blubber microstructure and to create mechanically accurate physical models of this biomaterial. This study has yielded information needed to evaluate blubber's mechanical roles during swimming and a model useful to future biomimetics studies of hydrodynamically tuned, pliant composite biomaterials.

APPROACH: I used blubber from fresh and fresh frozen cetaceans that had stranded or been taken incidental to fishing operations. Frozen blubber samples were serially sectioned in three orthogonal planes: transverse, longitudinal and tangential. Sections are viewed through polarized light to visualize birefringent collagen fibers. The microscopic images of blubber sections were used to measure (1) collagen fiber size (diameter and length within the plane), (2) collagen fiber angle relative to major body planes, and (3) cross-sectional area of section occupied by fibers and cells (i.e. density of constructional element) using computerized image analysis software. Serial sections were used to reconstruct the 3-D fiber morphology of blubber using computer aided design (DesignCad 3D). I performed mechanical tensile tests on blubber in the lab of Dr. John Gosline, in the Zoology Department at the University of British Columbia. I traveled to the BioDesign Studio, at Duke University to build biomimetic blubber models of increasing morphological complexity.

ACCOMPLISHMENTS: (1) Our lab created an archival collection of fresh, frozen blubber, dorsal fin and fluke samples from over fifty common (*Delphinus delphis*), and bottlenose (*Tursiops truncatus*) dolphins, and harbor porpoise (*Phocoena phocoena*). These tissues were collected from animals that had undergone a systematic necropsy protocol. Thus, quantitative analyses of collagen fiber architecture of blubber could be integrated with data series on whole body tissue compartments in delphinid and phocoenid cetaceans.

(2) We completed quantitative morphological analyses of lateral flanking blubber in the bottlenose dolphin at longitudinal positions in the thorax and caudal tailstock. Comparisons of serial sections through blubber in orthogonal, tangential and longitudinal planes have demonstrated statistically significant differences in collagen fiber architecture (a) across planes (b) throughout its depth and (c) between homologous positions at both locations. Blubber is reinforced with two sets of fibers: radial fibers that traverse the thickness of blubber and circumferential fibers that wrap around the dolphin. Radial fibers in

the hypodermis of the thorax and tailstock are oriented at 45° to both transverse and longitudinal planes. Cranial and caudal leaning circumferential fibers in thorax blubber wrap the animal at approximately 55°. In tailstock blubber, cranial fibers wrap at approximately 45° and caudal fibers at approximately 70°.

(3) Based upon fiber angle measurements, we have generated a biomechanical model of blubber. Blubber is an adipose hydrostat, reinforced with collagen at appropriate angles to experience tensile strains both during extension and compression. Thorax blubber is reinforced at angles that maximize the volume of the dolphin cylinder. Tailstock blubber is reinforced to resist torsional forces and to store elastic strain energy.

(4) We have demonstrated that in the region of the caudal tailstock, blubber is directly attached to the axial skeleton.

(5) We have demonstrated that the collagen fiber architecture of the keel is dramatically different than lateral flanking blubber. The caudal region of the dorsal keel appears to be reinforced laterally with longitudinally oriented fibers. This collagen fiber morphology is shared with the flukes and dorsal fin.

(6) We have demonstrated that blubber is a resilient, non-linearly elastic, highly-ordered biocomposite. Dynamic and pseudostatic mechanical tests of blubber have demonstrated that it is both a resilient tensile (68-97% energy recovery) and compressive (53-86% energy recovery) spring with an elastic modulus similar to that of high-quality biological and synthetic rubbers (1-3 MPa). Preliminary data also demonstrate that blubber resists stress-relaxation.

(7) Uniaxial tensile tests of whole caudal keel structures from bottlenose dolphin and harbor porpoise demonstrated that blubber's mechanical properties vary regionally: blubber becomes three orders of magnitude stiffer as you progress from the region of the dorsal fin to the insertion of the tail flukes. Thus, the mechanical properties of the keel blubber-structure are regionally tuned.

(8) With BioDesign Studio, we have built the first biomimetic models of dolphin blubber. A model, reinforced only with circumferentially oriented fibers assumes a saddle-shape when bent, suggesting emergent shape properties based upon the fiber architecture of the composite.

**CONCLUSIONS:** (1) Blubber can be biomechanically modeled as an adipose hydrostat: adipose cells function as the compression-resisting member, and circumferentially and radially oriented collagen fibers function as tensile members. (2) Thorax blubber is designed to maximize dolphin cylinder volume and tailstock blubber is designed to resist torsional loading and to store elastic strain energy during tail oscillations. Radial fibers in both regions are oriented to minimize shear deformation across the thickness of the skin. (3) Blubber functions to intimately connect the skin to the underlying axial skeleton in the flexible caudal tailstock, permitting force transmission and limiting shear deformation. (4) Blubber functions as a highly resilient, non-linearly elastic spring with an elastic modulus similar to that of high-quality synthetic rubbers (1-3 MPa). Blubber's mechanical properties, like its morphological constructions, vary regionally. (5) Biomimetic models of blubber reveal emergent shape-generating functions of fiber-reinforcement of thick composites.

SIGNIFICANCE: This study has demonstrated that blubber is morphologically and mechanically well-suited to (1) limit large scale shear deformation that might occur across the skin's thickness and (2) function as a biological spring. Blubber's non-linear stress-strain curve suggests that it can function as a spring in parallel with the dolphin's axial swimming muscles (similar to the parallel springs in swimming invertebrates). Blubber's initial low-modulus behavior requires little muscular force be diverted to loading the blubber spring during the mid-phase of the stroke, when the swimming muscles are performing maximal hydrodynamic work. When the potential for doing useful hydrodynamic work decreases near the end of the stroke, blubber's increasing stiffness permits elastic strain energy storage. This study is the first to demonstrate that blubber, an adipose hydrostat, may function as a biological spring to decrease the metabolic cost of swimming in dolphins.

PUBLICATIONS and ABSTRACTS: (during grant period)

- Pabst, D. A. (in press) Springs in swimming animals. *American Zoologist*.
- Pabst, D.A., Rommel, S.A. and W.A. McLellan. (in press) Functional morphology of marine mammals. In: *Marine Mammals* (ed. J. Reynolds and J. Twiss) Smithsonian Press.
- Long, J. H., Jr., Pabst, D. A., Shepherd, W. R., and W. A. McLellan. (in press) Locomotor design of dolphin vertebral columns: bending mechanics and morphology in *Delphinus delphis*. *Journal of Experimental Biology*.
- McLellan, W. A., Thayer, V. G., and D. A. Pabst. (in press). Stingray spine induced mortality in a bottlenose dolphin, *Tursiops truncatus*, from North Carolina waters. *Journal of the Elisha Mitchell Science Society*.
- Pabst, D.A. 1996. Morphology of the dolphin subdermal connective tissue sheath: a new fibre-wound, thin-walled, pressurized cylinder model for swimming vertebrates. *Journal of Zoology, London*. 238:35-52.
- Pabst, D. A., McLellan, W. A., Gosline, W. A., Wainwright, S. A. and P. M. Piermarini. 1996. Dolphin swimming: bioenergetics and biomimetics. Invited presentation during ONR's Young Investigators Poster Session poster at the Office of Naval Research 50th Anniversary Symposium. Washington, DC.
- Pabst, D.A., Rommel, S.A., McLellan, W.A., Williams, T.M., and T.K. Rowles. 1995. Thermoregulation of intra-abdominal testes of the bottlenose dolphin (*Tursiops truncatus*) during exercise. *Journal of Experimental Biology*. 198(1):221-226.
- Pabst, D. A., McLellan, W. A., Gosline, W. A. and P. M. Piermarini. 1995. Functional morphology of dolphin blubber. Eleventh Biennial Conference on the Biology of Marine Mammals, Orlando, FL.
- Pabst, D. A., McLellan, W. A., Gosline, W. A. and P. M. Piermarini. 1995. Morphology and mechanics of dolphin blubber. *American Zoologist*. 35(5):44A.
- Pabst, D.A., Piermarini, P.M. and W.A. McLellan. 1995. Functional morphology of blubber. ARPA and ONR sponsored Workshop Rotational Flow over Compliant Surfaces, Johns Hopkins Univ., Baltimore, MD.
- Pabst, D.A. and J. M. Gosline. 1995. Mechanics of the dolphin subdermal connective tissue sheath. Invited Symposium at the Western Society of Naturalists Meetings, Monterey, CA.
- McLellan, W. A., Pabst, D. A., Read, A. J., Nicholas, J., Potter, C. W. and S. A. Rommel. 1995. Mass composition of stranded and incidentally taken harbor porpoises (*Phocoena phocoena*) from the Western North Atlantic. Eleventh Biennial Conference on the Biology of Marine Mammals, Orlando, FL.

- Rommel, S.A., Early, G., Matassa, K, Pabst, D.A., McLellan, W.A. 1995. Vascular structures associated with thermoregulation of phocid seal reproductive organs. *Anatomical Record*. 243:390-402.
- Rommel, S.A., Pabst, D.A., McLellan, W.A., Williams, T.M., and W. A. Friedl. 1994. Temperature regulation of the testes of the bottlenose dolphin (*Tursiops truncatus*): evidence from colonic temperatures. *Journal of Comparative Physiology B*. 164:130-134.
- Pabst, D.A. 1993. Intramuscular morphology and tendon geometry of the epaxial swimming muscles of dolphins. *Journal of Zoology, London*. 230:159-176.
- Pabst, D.A. and W.A. McLellan. 1993. A newly described muscle in odontocete cetaceans. *Marine Mammal Science*. 9(1):81-83.
- Pabst, D.A. and J.M. Gosline. 1993. Biaxial mechanics of the dolphin subdermal connective tissue sheath. Tenth Biennial Conference on the Biology of Marine Mammals, Galveston, TX.
- Rommel, S.A., Pabst, D.A., and W.A. McLellan. 1993. Functional morphology of the vascular plexuses associated with the cetacean uterus. *Anatomical Record*. 237(4):538-546
- Swingle, W.M., Barco, S.G., Pitchford, T.D., McLellan, W.A. and D.A. Pabst. 1993. Appearance of juvenile humpback whales feeding in the nearshore waters of Virginia. *Marine Mammal Science*. 9(3):309-315.